



**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/456,319	12/08/99	MOSKOWITZ	S 066603-0122

BAKER & BOTTS L L P
THE WARNER
1299 PENNSYLVANIA AVENUE N W
WASHINGTON DC 20004-2400

0212/0



NOT ASSIGNED

2766

DATE MAILED:

01/24/00

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☒ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this **NOTICE** to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ small entity (statement filed) ☐ non-small entity is \$ 599.00.

☒ 1. The statutory basic filing fee is:

- ☒ missing.
☐ insufficient.

Applicant must submit \$ 380.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ 63.00 for 7 total claims over 20.

\$ 156.00 for 4 independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

MaBatee

Customer Service Center
Initial Patent Examination Division (703) 308-1202

03/20/2000 RSRHLE 00000104 09456319
02 FC:202 156.00 DP
03 FC:202 63.00 DP
04 FC:205 65.00 DP
05 FC:201 345.00 DP
35.00 CH

03/20/2000 RSRHLE 00000104 09456319
02 FC:202 156.00 DP
03 FC:202 63.00 DP
04 FC:205 65.00 DP
05 FC:201 345.00 DP



Sector

PATENT
Atty. Docket: 066603.0122

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:)
)
Scott A. MOSKOWITZ et al.) Attention: BOX MISSING PARTS
)
Application Number: 09/456,319)
)
Filed: December 8, 1999)
)
For: Z-TRANSFORM IMPLEMENTATION OF)
DIGITAL WATERMARKS)

RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

RESPONSE

In response to the attached "Notice To File Missing Parts Of Application" mailed January 24, 2000, Applicants are submitting herewith an executed Declaration by one of two joint inventors, Verified Small Entity Statement for inventor Scott Moskowitz, Verified Small Entity Statement for Wistaria Trading, Inc., assignee by an Assignment filed of even date herewith, a Certificate under 37 C.F.R. §3.73, the filing fee (including the fees for extra claims and extra independent claims), and the surcharge for late filing in the above-captioned patent application. Accordingly, it is respectfully submitted that this application is entitled to a filing date of December 8, 1999, the date upon which the specification, drawings, and claims were received by the PTO's mail room.

Also submitted herewith is a Petition Under 37 CFR §1.47. Efforts have been made to secure Marc Cooperman's, the second named inventor, signature on a declaration, small entity

DC01:250429.1

statement and assignment forms. These efforts were unsuccessful. Filed concurrently with the Petition is a Declaration of Floyd B. Chapman in support of the Rule 47 petition.

Applicants submit concurrently herewith an executed Verified Statement Claiming Small Entity Under 37 C.F.R. §§1.9(c) and (d) and §1.27(c), in response to the Notice to File Missing Parts. Submission of the statement is believed to entitle applicants to pay reduced fees in accordance with Patent and Trademark Office rules for revised fees indicating that "Any fee amount paid on or after December 29, 1999** , must be paid in the revised amount." **The effective date for the adjustments to the fee amounts in 37 CFR 1.17 and 2.6 is January 10, 2000." (Patent and Trademark Office Fee Sheet). Accordingly, the filing fees are calculated as follows:

Basic Filing Fee - Utility - Small Entity	\$ 345.00
Surcharge - Late Filing of Declaration - Small Entity	65.00
Extra Claims Fee - 7 Claims Over 20 - Small Entity	63.00
Extra Independent Claims Fee - 4 Claims Over 3 - Small Entity	156.00
Petition Under 37 CFR §1.47	130.00
TOTAL FILING FEES ENCLOSED	<u>\$ 759.00</u>

A check in the amount of \$759.00 is attached to cover the basic filing fee, excess claims fees, surcharge for late filing and petition fee.

In the event that any variance exists between the amount owed and the fee enclosed, the Commissioner is hereby authorized to charge any additional fee (including any additional fees for extensions) or credit any overpayment to the undersigned's Deposit Account No. 02-0375.

Respectfully submitted,

March 17, 2000

By:



Floyd B. Chapman
Registration No. 40,555

Baker Botts, L.L.P.
1299 Pennsylvania Avenue, N.W.
Washington, DC 20004-2400
Telephone: (202) 639-7700
Facsimile: (202) 639-7890

Enclosures